

ATTORNEY DOCKET NO.: EMC04-05(04034)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Richard Francis Cormier  
Serial No.: Unassigned  
For: METHODS AND APPARATUS PROVIDING BACKWARD  
COMPATIBILITY FOR APPLICATIONS THAT ACCESS A  
CHANGING OBJECT MODEL  
Filing Date: March 31, 2004  
Examiner: Unassigned  
Art Unit: Unassigned

**UTILITY PATENT APPLICATION TRANSMITTAL**

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: **MAIL STOP PATENT APPLICATION**, Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450 on:

Date: March 31, 2004

By: Tammy L. Rosado  
(Typed or printed name of person mailing  
Document, whose signature appears below)

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Signature: *Tammy L. Rosado*

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**MAIL STOP PATENT APPLICATION**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing is a patent application entitled:

**METHODS AND APPARATUS PROVIDING BACKWARD COMPATIBILITY FOR  
APPLICATIONS THAT ACCESS A CHANGING OBJECT MODEL**

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**Named Inventor(s) / Inventor Address(es) / Inventor Citizenship(s):**

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Citizenship: United States of America

**Enclosed is/are:**

- [x] Transmittal Letter including fee calculations (this form, 3 pages) (in duplicate), Total Pages: 6;
- [x] REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i); Total Pages: 1;
- [x] Utility Patent Application including 30 Claims (4 Independent, 26 dependent), Total Pages: 35;
- [x] Drawings: [x] Formal, [ ] Informal (Figs. 1, 2, 3, 4, 5, 6), Total Sheets: 6;
- [x] Unexecuted Oath/Declaration/Power Of Attorney, Total Pages: 3;
- [x] Return Receipt Pre-paid Postcard (in duplicate), Total Postcards: 2;

| <b>CLAIMS</b>                                  | <b>Number Filed</b> | <b>Number Extra</b> | <b>Rate</b> | <b>Calculations</b> |
|--|---------------------|---------------------|-------------|---------------------|
| Total Claims                                   | 30-20 =             | 10                  | 10x \$18    | \$180.00            |
| Independent Claims                             | 4-3 =               | 1                   | 1x \$86     | \$86.00             |
| Multiple Independent Claims                    |                     |                     | + 280 =     | \$0.00              |
| BASIC FILING FEE =                             |                     |                     |             | \$770.00            |
| Total of Above Calculations =                  |                     |                     |             | \$0.00              |
| Assignment Recordation Fee =                   |                     |                     |             | \$00.00             |
| <b>TOTAL FEE FOR THIS PATENT APPLICATION =</b> |                     |                     |             | <b>\$1,036.00</b>   |


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If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 366-9600, in Westborough, Massachusetts.

Respectfully submitted,

By:   
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Attorney Docket No.: EMC04-05(04034)

Dated: March 31, 2004

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|--|----------------------|---|
| <b>REQUEST AND CERTIFICATION<br/>UNDER<br/>35 U.S.C. 122(b)(2)(B)(i)</b> | First Named Inventor | Richard Francis Cormier   |
|  | Title                | METHODS AND APPARATUS PROVIDING BACKWARD<br>COMPATIBILITY FOR APPLICATIONS THAT ACCESS A<br>CHANGING OBJECT MODEL |
|  | Atty. Docket Number  | EMC04-05(04034)   |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 31, 2004  
Date

  
Signature

Barry W. Chapin, Esq.  
Type or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 35 U.S.C. 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington DC 20231.